

Report of the Marcive Documents Without Shelves Task Force December 1, 2014

Members: Rami Attebury, Abby Bibee, Ruth Funabiki (Chair), Karen Highum, Tom Larsen & Debra Spidal

The Charge

The group will examine a set of bibliographic records, create an Alma Network Zone (NZ) management plan for all subscribing libraries, and document the process of decision making and decision points for future record loading processes. Applicable notes related to 1) record pricing options, 2) bibliographic match points; 3) import profiles for the set; 4) methods of setting subscribing libraries within Alma's Network Zone; 5) and documented workload; and 6) any other pertinent information discovered by the group.

Action

Deliver process and decision making documentation to CTST chair, Ann Miller, and Program Manager, Kathi Fountain.

Create an Alma Network Zone Management Plan for all Subscribing Libraries

Ms. Fountain assigned us to evaluate utilization of "MARCIVE Documents Without Shelves" bibliographic records for online resources. Our recommendation is to create a variation on the approach described by Bob Thomas in his October 2014 report, "Managing Electronic Collections in the NZ." We recommend centralized Network Zone loading of new monthly Marcive bibliographic data files for online resources. And, we recommend that collections sets be considered for both Documents Without Shelves and the "law libraries" subset of Documents Without Shelves.

Document the Process of Decision Making

In early September, Collaborative Technical Services Team, in collaboration with the SILS Cataloging group, created several small groups to investigate issues related to collaborative record loading. On September 26, the Marcive Documents without Shelves Task Force (CTST) received its assignment.

Members were assigned to various tasks and spent time gathering information in October and early November. Several task force members had the opportunity to consult colleagues at the Northwest Government Information Network (NGIN) meeting in Bellevue on November 3. In a conference call on November 5, members reported on their respective assignments. On November 19, Ruth Funabiki shared a first draft of the current report, and editing continued up to the December 1 deadline.

Decision Points for Future Record Loading Processes

- 1. Should the Alliance extend shared record loads to records for tangible Depository resources?**
This will be a more complicated project than managing online resources because Selective Depositories have unique subscription profiles. In addition, the Unique OCLC Identifier Match Method may not work for the GPO "tmp" records.
- 2. Should the Alliance consider OCLC as well as Marcive as a vendor for GPO MARC records?**
Task force members felt that this question was beyond the scope of our charge, but there are Alliance members who suggest that it might be productive to explore this choice. On the other hand, a number of institutions appreciate the quality and flexibility of Marcive services.

Applicable Notes

RECORD PRICING OPTIONS (Rami Attebury & Ruth Funabiki)

Recommendations:

- 1) Get a “consortium pricing” bid from Marcive for monthly record sets for online titles newly-cataloged by GPO.
- 2) Offer a choice between Documents Without Shelves (DWS) “Standard Service” or “Law Libraries” versions
- 3) Ask institutions and constituent law libraries to decide individually on participation and version

Discussion: Loading monthly record sets obtained from Marcive will set the PURL as the link to the resource, while accommodating library choices to either upload holdings to OCLC or pay Marcive to set OCLC holdings. This approach also accommodates Alliance law library community interest in utilizing the “law library” subset of Documents Without Shelves instead of the full range of titles.

BIBLIOGRAPHIC MATCH POINTS (Tom Larson & Karen Highum)

Recommendation: Utilize “Unique OCLC Identifier Match Method” for the Match Profile.

Discussion: This is the recommendation in the Alliance Google Doc entitled “Marcive TG Load Best Practices.”

IMPORT PROFILES FOR THE SET (Debra Spidal & Karen Highum)

Recommendation: Utilize the Alliance import profile recommended for Marcive records.

Discussion: This import profile is recommended in the Alliance Google Doc entitled “Marcive TG Load Best Practices.”

METHODS OF SETTING SUBSCRIBING LIBRARIES IN THE NETWORK ZONE (Debra Spidal & Abby Bibee)

Recommendation: Utilize Network Zone loading method described in “Managing Electronic Collections in the NZ.” Create one electronic collection for the full monthly new online records file and one for the law library subset of those monthly files. Manage Alliance library “subscriptions” to one or the other of these collections utilizing existing Alliance staff and procedure infrastructure for databases.

Discussion: This choice allows for centralized loading of bibliographic records, which can be scheduled for automated loading.

DOCUMENTED WORKLOAD (Tom Larson & Ruth Funabiki & Rami Attebury)

The workload for Alliance staff will consist of

1. Managing bidding, subscriptions, and licensing
2. Invoicing
3. Monitoring monthly loads of Marcive Documents Without Shelves records files into the corresponding electronic collection(s) in the Network Zone.

The workload for Alliance libraries will consist of one-time activation of the appropriate electronic collection and, if they choose to do so, monthly identification of new records and uploading holdings for those new records to OCLC. Alternatively, libraries may select Ongoing Database Utility Holdings Records as a separate line item service from Marcive.

DEPOSITORY REGULATIONS (Ruth Funabiki & Rami Attebury)

Email correspondence from GPO’s Ashley Dahlen, dated 11/19/2014 states “Per our phone call, I understand that the consortia [sic] has a shared ILS - and you are inquiring about the feasibility of loading one bibliographic record for each title, and attaching holdings for any library in your group that received it. GPO has no problem with this solution as it seems to streamline the workflow and it still achieves the piece-level accounting requirement for all your participating libraries. Serials statements may a bit tricky to manage centrally, but there are workarounds.

You also inquired about online material - GPO does not have any say over how online resources are managed. We strongly recommend that libraries catalog them - but other solutions for making online resources available exist (such as integrations into online subject guides, etc.).”