Collection Development & Management Committee

Annual Representatives Meeting

October 29, 2013
Welcome

• Kathi Fountain
  – Orbis Cascade Alliance

• Jill Emery
  – Portland State University & CDMC Chair

• John Helmer
  – Orbis Cascade Alliance
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CDMC
How did we get here?
What comes next with SILS?

Lynn Chmelir
Buzz about SILS

From *Library Journal*

Consortium Makes Radical Shift Away From ILS

• ...[The shared ILS is a] sign that the *Alliance remains at the forefront* when it comes to resource sharing and collaborative technical services.

• It probably is not an over-statement to say this is a *watershed event* for libraries.

• Helmer said the alliance envisions itself as a “unified multi-institutional entity,” and migrating to the next generation system was a powerful tool to help realize the alliance’s *goal of achieving a single collection distributed across a large geographic area*. The Ex Libris deal is just one prong of this overarching strategy...

From *Smart Libraries Newsletter*

• This move by the Orbis Cascade Alliance not only counts as an early voice of confidence in Ex Libris's Alma, but also reflects an interest in new automation configurations that *enable greater resource sharing capabilities*.

Packed room at ALA last January
The Alliance is 20 years old.
Library cooperation in the NW is a lot older.
Cooperation is built on trust that comes from working together.

- PNBC
- OSSHE
- NAPCU
- ICCL
- ACRL-Washington and ACRL-Oregon Chapters
- ORULS
- Pony Express
Orbis

- 1995--Union catalog
  5 Oregon public universities
  2 Oregon private colleges
- 1997--Orbis borrowing—INN-Reach
  6 more OR and WA private colleges
Cascade

- 1996—Washington State Cooperative Library Project (WSCLP) union catalog
- 2000—Cascade borrowing—INN-Reach

6 Washington public universities
Orbis Cascade Alliance

- 2002—Merger of Orbis and Cascade
- 2003-2008—Summit borrowing—INN Reach
- 2008—Summit borrowing—OCLC NRE
- 2013—Cohort 1 goes live with Ex Libris Alma and Primo

37 public and private colleges, universities, and community colleges in OR, WA and ID
Why is the Alliance a success?

- Trust built through cooperation—new technologies can help
- Excellent leadership—libraries and staff
- Creative funding formula works for large and small libraries
- Benefits accrue to all members—load leveling, etc.
- Libraries promote their own best interests via cooperation
Origins of the CDMC

• 1999-2002—Orbis Collection Development Committee, Joni Roberts, Member

• 2005--Collection Management Task Force report to Council, Mark Watson, Chair

• 2005—Collection Development and Management Committee formed

Current charge and structure with institutional reps and a Steering Team
One Collection!

Alliance Collection Development Mission Statement, adopted by Council in 2007 and included as a part of the current Strategic Agenda:

• As an Alliance, we consider the combined collections of member institutions as one collection. While member institutions continue to acquire their own material, the Alliance is committed to cooperative collection development to leverage member institutions' resources to better serve our users.

• About 29 million items; 9 million unique bibliographic records
• Harvard has about 17 million items
One of the obligations of Alliance membership:

Contribute to the development of Alliance collections as a single, shared set of holdings by participating in cooperative collection development initiatives.
Early work of CDMC

• War chest for Alliance purchases -> DDA
• Copy threshold to limit *unnecessary* duplication
• Preferred vendor -> YBP
• Distributed print archive -> WEST
• Last copy policy
SILS and collection development and management

• Network Zone
  see Bob Thomas’ CTST presentation
• Detailed and summary holdings
• Primo access to Institutional Repositories
• Center of Excellence (COE)
  framework for future enhancement and development
Desired SILS enhancements via COE
from Xan Arch’s list

• Cross-institution analytics—circulation, overlap, etc.
• Pooling resources for shared purchases
• Shared approval plan
• Cross-institution ordering and vendor information
• Support for last copy and subscription cancellation
• Support for distributed archiving responsibilities
• Support for managing jointly owned collections (e.g. DDA)
What do we do now?

- Legacy paper collections
- Electronic collections
Legacy Paper Collections

- 280,000 Summit loans annually
- Monograph purchasing—3 copy threshold (2010)
- Better coordination with DDA
- Books not bought project?
- Last copy policy (2008)
  When considering an item for withdrawal, library staff should routinely consult Summit to determine if the copy is the last one held in the consortium. If the copy is found to be unique to the catalog, reasonable efforts should be made to preserve the copy...

- Distributed Print Repository
- Regional Library Service Center (RLSC)?
Electronic Collections

• Difficulty sharing electronic collections
• Open access and institutional repositories help
• The DDA project is pushing the envelope
  105,999 uses last year— 1,115 purchased titles
  $1 million budget
  $65,249,740
    AY 12/13 Alliance materials budgets
  280,000 Summit loans
  27% of use is DDA

Are there partners to work on this?
Current Council strategic planning

**Draft** language under Council consideration

One Collection
Consider the combined collections of member institutions as one collection

Objectives:
- Develop and preserve a unified collection
- Share electronic resources
- Achieve appropriate levels of duplication
- Maximize the impact of expenditures
- Extend and preserve collections through work with partner organizations
DISCUSSION
BREAK
Current Council strategic planning

**Draft** language under Council consideration

**One Collection**
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Process Notes

• Strategic Agenda up for review by Council (Nov).

• Leads to creation/changes in committees

• Creates project priorities for committees (in charge)

• Opportunity for input in process
Project Priorities: From CDMC Steering

- HathiTrust investigation / what the Alliance can do for us
- Shared approval plans are priority for CDMC Steering
- Established e-resource sharing for ebooks
- DDA ebook program (ongoing program and therefore a priority)
- Distributed print archive of monographs / look at options for methodologies and setting a threshold for low use / cooperative collection management to help make better use of existing space / floating collections
LUNCH
Shared Approval Plans:

An idea whose time has come?
Or gone?
“Investigate the feasibility of creating cooperative approval plans”

Results of a CDMC Committee brainstorming session, 9/30/05
Several actions were taken towards the development of a shared monographic purchasing plan. James Bunnelle created the Orbis-Cascade Alliance: Shared Approval Plan (SAP) Project wiki. Alliance members can list activities that have started or are in progress that involve collaborative efforts.

The most active shared purchasing plan among five institutions was led by Diane Carroll and Sarah Beasley for Pharmacy/Pharmacology. *No shared purchasing plans results* because of the challenges of two classification systems (NLM and LC) and the need for online instead of print access. *However, much was learned in the process, including the complexity and amount of time needed to set up a program.*
“What is the most resilient parasite? Bacteria? A virus? An intestinal worm? An idea. Resilient... highly contagious. Once an idea has taken hold of the brain it's almost impossible to eradicate.” (Inception)

The Idea: Shared Approval Plans
1. Means to increase the breadth of the Alliance collection
2. Means to decrease duplication of content between Alliance libraries
Less Duplication  More Breadth

(Less Filling)  (Tastes Great)

Focus on things we are all buying:
• University Press titles
• Choice Essential
• YBP Essential
• Award winners
• Resources for College Libraries

Focus on things we are not buying:
• Not bought lists
• Materials being borrowed from outside the Alliance
• Publishers waiting to get into the DDA program
So ... where's the beef?

Approval Plans
- Ownership model
- Just in case
- Old school
- CD of the past

DDA/POD
- Access
- Just in time
- New school
- CD of the future
Questions for Discussion:

• Is there still interest in pursuing shared approval plans?
• If so, what type of plan makes sense? Tastes great or less filling?
• Is anyone using or interested in auto-ship?
• Should the CDMD ST undertake a survey to find out what types of plans are in existence now?
• Should we ride this idea into the **sunset**?
Update from Ebook Working Group

Linda Di Biase
CDMC Annual Meeting, 29 October 2013
Some Statistics
(July 2011-June 2013)

- 13,608 titles available to users (19,500 at highest count)
- ~ 200 new titles added per week
- 1079 titles purchased
- 18,222 titles were accessed 265,584 times (this includes free browsing)
- 14,601 titles had 118,692 STLs (incl. owned loans)
- $1,182,902.48 spent
More statistics, 2011-2013

• As number of owned titles grows, cost per use declines:
  • 2011-12 cost per use: $4.91
  • 2012-13 cost per use: $4.35

• Top 5 EBL subjects
  Used:
  1. Business/Management
  2. Social Science
  3. Medicine
  4. Education
  5. History

  Owned:
  1. Social Science
  2. Business/Management
  3. Medicine
  4. Education
  5. History
Still more statistics, 2011-2013

• % of titles with paid use (short term loan)
  • FY2012 – 49%
  • FY2013 – 77%

• Average # of short term loans per title
  • FY2012 – 2.54
  • FY2013 – 2.69

• “Alliance titles are used extensively” -- Proquest
#1 Challenge: Growing the program in a steady-state fiscal environment
New content models? (1)

- Limited Use Model
  - Purchase price = 2X list (vs. current 5X)
  - Purchase copy = 28 – 7 days loans (vs. 1625)
  - If exceeds 28 loans, purchase a 2nd copy, etc.
  - Used by Novanet, USMAI, NY3Rs, CARLI
  - Might have saved 10% in FY2013
  - Would require publisher agreement
New content models? (2)

All books available to all members via STL; each library buys separately when its users trigger a purchase.

- No publisher agreement needed
- Universal access but no joint ownership
- Coordinate w/ existing DDA programs
Refining Funding Model?

- Adjustments where cost/per use is relatively high?
- Want to encourage use, not disincentivize it
- No drastic changes (esp. increases) to individual members’ contributions
Discussion ...
Satellite E-book Plans & Sharing

Jill Emery
WEST Update

Jim Bunnelle
On WEST’s Operations & Collections Council:

- Mark Watson, University of Oregon
- Linda Di Biase, University of Washington
- Jim Bunnelle, Lewis & Clark College
January 2011 – December 2013

- Establish the administrative and operational infrastructure to support the WEST distributed journal archive
- Accomplish three cycles of archiving and associated space recovery
- Process approximately 150,000 volumes from 8,000 journal runs to allow recovery of the space occupied by duplicates
MEMBER GOALS & PRIORITIES

Deemed essential during Phase 1:

- Near-term space reclamation through archiving of print copies for electronic journals that have long-term e-access rights (i.e., Portico/CLOCKSS titles.)

- Building and validating archives for print-only journals with moderate or high duplication within WEST.

- Ensuring that JSTOR archives are complete within the region.
Cycle 1 Totals
- 4,300 journal families, comprising 160,000+ volumes.

Cycle 2 Totals
- Completed June 2013
- 2,753 journal families, comprising 94,500+ volumes.

Cycle 3 Projected Totals
- Estimated completion in June 2014.
- Approximately 3,000 journal families, comprising 95,000+ volumes. to be completed by June 2014.
PHASE 2: WHERE WE’RE GOING

  - Complete two more archiving cycles totaling approximately 120,000 additional volumes and incorporating lessons learned from Phase 1.
  - Conduct strategic planning for possible Phase 3; ask members to identify potential new services and refine the business model to transition to a sustainable, member-supported model.
  - Conduct two program assessments at the end of Phase 1 and Phase 2 to assess goals, review member participation and expectations, and review the archived collections.
WHAT’S NEXT

Suggested enhancements for Phase 2:

- Identify additional Abstracting & Indexing databases and journal packages for analysis
- A mechanism to support requests for volumes to fill gaps in archives
- Standardized forms for keeping track of print titles de-accessioned
- A user interface for the collection analysis system
- New functionality to support member title nominations for consideration by WEST
What do Alliance libraries value most about WEST? Do you feel that it’s providing good value? Is your money well spent?

Input to inform Phase 2’s strategic planning process
- Change in archiving priorities, e.g. monographs, government documents?
- Change in services offered, e.g. digitization, collection analysis?

Input to inform the two rounds of assessment

What deselection data are you willing to share? Does the proposed template adequately capture what’s needed?

Other comments?
New Business & Concerns

Kathi Fountain
Jill Emery